Xerox Docket No. D/A0A3 PATENT APPLICATION

E UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Ruth E. ROSENHOLTZ et al.

Application No.: 09/682,231

Filed: August 8, 2001

Docket No.: 110268

METHODS AND SYSTEMS FOR TRANSITIONING BETWEEN For:

THUMBNAILS AND DOCUMENTS BASED UPON THUMBNAIL APPEARANCE

## INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- This Information Disclosure Statement is being filed (a) within three months of  $\boxtimes$ the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- The Examiner's attention is directed to co-pending U.S. Patent Application Nos.  $\bowtie$ 2. 09/682,230; 09/682,232; and 09/682,229 all filed August 8, 2001, which are directed to related technical subject matter. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination of the present application.
- The inventors became aware of item 12 under the "Other Document" section of  $\boxtimes$ 3. the Form 1449 on October 10, 2001. It appears that the paper was submitted to the Symposium on Computer Human Interfaces ("CHI") in 1998 but was never officially published by CHI. The paper appears to have been posted on the Internet on March 1, 1999.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

J. Adam Neff

Registration No. 41,218

JAO:JAN/llw

Date: November 30, 2001 OLIFF & BERRIDGE, PLC

P.O. Box 19928

Alexandria, Virginia 22320 Telephone: (703) 836-6400

**DEPOSIT ACCOUNT USE AUTHORIZATION** Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 24-0037

					ATTY DOCKET NO. 110268			APPLICATION NO. 09/682,231				
PE (Ose several sheets if necessary)					APPLICANT(S) Ruth E. ROSENHOLT et al.							
NOV 3 0 2001				FILING DATE August 8, 2001								
1		USF	PATI	ENT DOCUMENTS								
EXAMINATE	ADEMAS:	6.6.1		<u></u>					SUB			
INITIAL		DOCUMENT NUMBER	DATE		NAME		Fac	CLASS	CLASS			
		5,740,285	04/	1998	Bloomberg et al.		RE	CEIV	ED			
		5,526,443	06/	1996	Nakayama		DE	042	<del>Gar</del>			
		5,384,863	01/	1995	Huttenlocher, et al.	Te	echnok	0 4 ? 99y Centi				
		5,060,135	10/	1991	Levine et al.				# 2100 			
		OTHER DOCUMENTS (Inc										
	1)	Ayers, E. and Stasko, J. "Using Graphic History in Browsing the World Wide Web", Proc. 4th Intl. WWW Conf., Boston,										
		December 1995.										
	2)	2) Hightower, R., Ring, L., Helfman, J., Bederson, B., and Hollan, J. "Graphical Multiscale Web Histories: A Study										
		BadPrints, Proc. Hypertext '98, 1998, pp. 58-65.										
	3) Kopetzky, T. and Mühlhäuser, M. "Visiual Preview for Link Traversal on the WWW," Proc. 8 <sup>th</sup> Intl. WWW Confe											
		Toronto, Canada, May 1999, pp. 447-454.										
	4) Cockburn, A., et al. "WebView: A Graphical Aid for Revisiting Web Pages," Proc. OZCHI '9								'99 Australian Conf. On HCI,			
		Wagga Wagga, Australia, Nov. 1999.										
	5)	Wynblatt, M. and Benson, D. "Web Page Caricatures: Multimedia Summaries for WWW Documents," Proc. IEEE Intl.										
		Conf. On Multimedia Computing and Systems, Austin, TX, June, 1998, pp. 194-199.										
	6)	Olston, C. and Chi, E. "Scent Trails: Integrating Browsing and Searching on the World Wide Web," Submitted to SIG										
		2001.										
	7)	Card, S. K., Mackinlay, J.D., and Shne	ider	man, B. "In	formation Visualization: U	sing Visio	on to Th	ink," Morga	in-			
Kaufmann, San Francisco, 1998, pp. 306-309, 311, 331-332, 341-342, 381.									-			
	8)	Hearst, M., "Title Bars: Visualization of	of To	erm Distrib	ution Information in Full T	ext Inform	nation A	.ccess," Pro	ceedings			
		Of CHI '95, ACM Conference on Huma										
	9)	Helfman, J.I. "Mandala: An Architectu	ire fo	or Using Im	ages to Access and Organi	ze Web In	formati	on," <i>Procee</i>	dings of			
		Visual '99, Visual Information and Information Systems, Third International Conference, 1999, pp. 163-170.										
	10)	Boguraev, B., Kennedy, C. Bellamy, R	L., Bı	rawer, S. W	ong, Y.Y., Swartz, J. "Dyr	namic Pres	entation	of Docume	ent Content			
		For Rapid On-Line Skimming," AAAI Spring 1998 Symposium on Intelligent Text Summarization, Stanford U, 1998.										
	11)	Graham, J. "The Reader's Helper," Proceeding of the CHI 99 Conference on Human Factors in Computing Systems: The CHI is the limit, May 1999.										
	12) Chiou, T. et al. "Perceptual Thumbnail", http://www.media.mit.edu/~dc/research/thumbnail/chi98.htm/, March 1999.											
						· · · · · ·	_					
EXAMINER						DATE (	CONSIE	ERED				
Examiner:	Initial if	f citation considered, whether or not ci ance and not considered. Include copy of	itatio	on is in con	nformance with M.P.E.P. n next communication to ap	609; drav	v line t	hrough cita	tion if not in			

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DO 110268	OCKET NO.	APPLICATION NO. 09/682,231					
INFOR	OITAM	ON DISCLOSURE STATEMENT		\						
/.8	(Hee se	eyeral sheets if necessary)		APPLICANT(S) Ruth E. ROSENHOLTZ et al.						
P Ese seyear sneets it necessary)			FILING D August 8,							
——————————————————————————————————————		U.S. P	PATENT DOCU	MENTS						
EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUB CLASS				
					ECEIVED  C 0 4 ZON1  logy Contar 210					
				DE						
				Techn	torus Ci					
					non Chutar \$10	0				
	1									
	<del></del>	FOREIG	N PATENT DO	CUMENTS						
	DOCUMENT NUMBER		DATE	COUNTRY	CLASS	SUB CLASS				
			Title, Date, Pertinent Pages, etc.)							
	13)	Erick, S. G., Steffen, J.L., and Sumner, E.E. "Seesoft A Tool For Visualizing Line Oriented Software Statics," IEEE								
		Transactions On Software Engineering Vol. 18, No. 11, November 1992								
	14)		Ogden, W.C., Davis, M.W., and Rice, S. Document thumbnail visualizations for rapid relevance judgments: When do							
		they pay off? TREC 1998, pp. 528-34.								
	15)	U.S. Patent Application No. 09/682,230, filed August 8, 2001.								
	16)	U.S. Patent Application No.09/682,229, filed August 8, 2001.								
	17)	U.S. Patent Application No. 09/682,232, filed August 8, 2001.								
EXAMINER	<u></u>	<u> </u>		DATE C	CONSIDERED					
Г.		f citation considered, whether or not cit	tation is :	formance with MDED 600. decre	y line through citati	on if not in				
Examiner: In	nitial if conforma	f citation considered, whether or not cit ance and not considered. Include copy o	f this form with	next communication to applicant.	unough chat					